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TABLE 4: FUNDING PERIOD 2 (2009-10)

Children, Schools and Families Financial Data Collection Table 4: Funding Factors

Year	2009-10	Local Authority Name	Middlesbrough	Local Authority Number	806	Email Address	victoria_holmes@middlesbrough.gov.uk
Contact	Victoria Holmes	Tel No.	01642 728106	Version No.	3	Completion Date	21/05/2009

(1) Nursery, Primary and Secondary Schools

PUPIL COUNT ARRANGEMENTS (2)

Supply Method of pupil count, count dates and worked example(s) where appropriate:

(3) Band, Range or Level	(4) Unit Value £	(5) Total allocated through factor	(6) % of Nursery, Primary & Secondary budgets
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AGE-WEIGHTED FUNDING (7) Weighting Ratios (8)

Key Stage	School Year	Age group (pupils' ages as at 31 st August 2009)	Weighting Ratio (8)	Unit Value (£)	Total allocated through factor (5)	% of budgets (6)	Pupil numbers (9)
-	Nursery	3 yr old	1.10	£ 2,627.19	Nursery		1,085.40
-	Nursery	4 yr old	1.02	£ 2,443.08	0.00		1,734.50
1	1	5	1.02	£ 2,443.08			1,586.50
	2	6	1.02	£ 2,443.08			1,520.80
	3	7	1.00	£ 2,390.06			1,541.20
2	4	8	1.00	£ 2,390.06			1,619.90
	5	9	1.00	£ 2,390.06			1,547.10
	6	10	1.00	£ 2,390.06			1,643.10
	7	11	1.18	£ 2,828.75			943.25
3	8	12	1.18	£ 2,828.75	Primary:		970.92
	9	13	1.18	£ 2,828.75	29,860,452	70%	1,019.25
4	10	14	1.55	£ 3,702.63	Secondary:		1,081.00
	11	15	1.55	£ 3,702.63	16,283,849	74%	1,075.83
					Re-takes (Year 12+)		
					Secondary		

Method and worked example(s) where appropriate:

Pupils in nursery classes are funded as full time equivalents. All other year groups are funded on the number of pupils. Pupils in special units are excluded as these are funded on the number of planned places.

PRE-SCHOOL Place-Led Funding (Nursery Classes) (10)

Nursery 3 year olds
Nursery 4 year olds

Nursery:
Primary:

Method and worked example(s) where appropriate:

KS 1 ALTERNATIVE FUNDING ROUTES FROM 1/9/03 (i.e. not by AWPU) (11)

Class-based

Nursery:
Primary:

Class-based

Ghost funding

Nursery:
Primary:

Ghost funding

Method and worked example(s) where appropriate:

Difference in funding for pupils educated additionally at F.E colleges, work based learning providers or other providers of more practical learning options

Method and worked example(s) where appropriate:

FUNDING OF SIXTH FORM PUPILS FROM LOCAL AUTHORITY FUNDS (12)

"Top-up"

Secondary:

Method and worked example(s) where appropriate:

OTHER PLACE-LED FUNDING TREATED AS PUPIL-LED such as in boarding units and hostels (13)

Catering	3,231	416.61
Personalised learning funding per pupil aged 5-16	11,370	47.22
Workforce reform	12,455	16.57
Catering	1,063	423.89
Personalised learning funding per pupil aged 5-16	5,161	72.33
Practical learning options	5,161	25.22
Multi disciplinary team	5,091	22.91

Nursery:	
Primary:	
2,089,256	5%
Secondary:	
1,070,654	5%

Method and worked example(s) where appropriate:
 Catering (FSM take-up) - allowance per average free school meal take up over last three years.
 Multi Disciplinary Team (pupil led) - allowance per pupil on PLASC return (excludes pupils in special units). Personalised learning - allowance paid per pupil. Pupil numbers exclude nursery classes but include places in special units. Practical learning options - allowance paid per pupil in secondary schools including special unit places.

LSC GRANT ALLOCATION FUNDING SIXTH FORM PUPILS (14)

FTE Pupils (LSC Funded)		
Secondary:		

Method and worked example(s) where appropriate:

AEN -Learning needs associated with EAL (15)

EAL pupil allowance	425	234.83
Individual Pupil Fund (pupil allowance)	11,193	11.69
EAL pupil allowance	141	204.20

Nursery:	
99,803	
130,821	
Primary:	
230,624	1%
Secondary:	
28,758	0.1%

Method and worked example(s) where appropriate:
 EAL - Allowance per pupil of Ethnic Minority pupils and not achieving expected attainment level.
 Individual Pupil Fund (pupil allowance) - paid per primary pupil excluding nursery pupils and excluding special unit places.

AEN Identified Special Educational Needs (pupil led) (16)

SEN - pupils with or without statements (pupil-led) Named Pupil Individually Assigned Resources (17)

Level	Category	Count	Percentage
Nursery	SEN allowance per pupil registered as eligible for FSM		
	SEN allowance per pupil registered as eligible for FSM		
	SEN allowance per pupil registered as eligible for FSM		
Primary	SEN allowance per pupil registered as eligible for FSM		
	SEN allowance per pupil registered as eligible for FSM		
	SEN allowance per pupil registered as eligible for FSM		
Secondary	SEN allowance per pupil registered as eligible for FSM		
	SEN allowance per pupil registered as eligible for FSM		
	SEN allowance per pupil registered as eligible for FSM		

SEN - pupils with or without statements (pupil-led) Other (18)

Level	Category	Count	Percentage
Nursery	SEN allowance per pupil registered as eligible for FSM		
	SEN allowance per pupil registered as eligible for FSM		
	SEN allowance per pupil registered as eligible for FSM		
Primary	SEN allowance per pupil registered as eligible for FSM	3,744	
	SEN allowance per pupil registered as eligible for FSM		
	SEN allowance per pupil registered as eligible for FSM		
Secondary	SEN allowance per pupil registered as eligible for FSM	1,676	
	SEN allowance per pupil registered as eligible for FSM		
	SEN allowance per pupil registered as eligible for FSM		

Method and worked example(s) where appropriate:
 SEN allowance is paid for every child registered as eligible for free school meals as at Census day

SEN - pupils with or without statements (place-led treated as pupil-led) Named Pupil Individually Assigned Resources (19)

Level	Category	Count	Percentage
Nursery	SEN allowance per pupil registered as eligible for FSM		
	SEN allowance per pupil registered as eligible for FSM		
	SEN allowance per pupil registered as eligible for FSM		
Primary	SEN allowance per pupil registered as eligible for FSM		

Secondary			Primary:	
Secondary			Secondary:	

Method and worked example(s) where appropriate:

SEN - pupils with or without statements (place-led treated as pupil-led) Other (20)

Nursery			Nursery:	
Primary				
Assessment class	8,555	27.08		
Moderate Learning Difficulties	8,279	37.08		
Hearing Impaired/Deaf	17,738	31.25		
Visually Impaired/Braille Dependent	19,530	12.17		
Physical Difficulties	11,993	56.17	Primary:	
Autistic Spectrum Disorder	12,040	12.67	2,156,737	5%
Secondary				
Moderate Learning Difficulties	30	6,325.33		
Physical Difficulties	23	13,560.91		
Speech and	18	10,032.89		
Hearing Impaired/Deaf (Academy)	0	20,162.73	Secondary:	
VI/Braille (Academy)	0	19,168.22	674,930	3%

Method and worked example(s) where appropriate:

Planned place allowances are paid for each type of unit based on the number of planned places agreed between the school and the authority

AEN - Identified Special Educational Needs (not pupil led) (21)

			Nursery:	
SEN Lump Sum	42	7,295.96		
SEN allowance per FSM pupil above threshold	310	166.45	Primary:	1%
			306,430	
			Secondary:	
			51,642	0%

Method and worked example(s) where appropriate:

A lump sum is paid to each primary school for special educational needs at School Action and

School Action Plus. An allowance is paid to each secondary school with more than 30% of children on roll eligible for free school meals at Census day, as a proxy for higher levels of SEN.

AEN - Other Learning Needs (22)

Personalised learning per FSM pupil	3,744	89.99		
Personalised learning per FSM pupil	1,676	139.52		
			Nursery:	
			Primary:	336,911 1%
			Secondary:	233,797 1%

AEN - Social Needs (23)

AEN allowance per pupil registered for free school meals	3,744	489.58		
AEN - Allowance per Looked After Child	60	489.58		
Pupil Mobility - Allowance per mobile child over threshold	89	107.37		
Deprivation	Banded			
AEN allowance per pupil registered for free school meals	1,676	712.76		
AEN - Allowance per Looked After Child	27	712.76		
Deprivation	Banded			
			Nursery:	
			Primary:	£ 2,052,404 5%
			Secondary:	1,510,709 7%

Method and worked example(s) where appropriate:
 Personalised learning - part of the earmarked funding within DSG is allocated on the basis of an allowance per pupil registered for free school meals at Census day. AEN allowance paid per pupil registered for free school meals at Census day. Looked After Child allowance paid for each Looked After Child at Census day. Pupil mobility - mobility is calculated by identifying pupils in Y1-Y6 at Census day who were not on roll in the previous year's Census return. This is expressed as a proportion of the total Y1-Y6 roll in order to calculate the sector average. The average is applied to each school's Y1-Y6 roll to calculate the threshold, i.e. the number of mobile pupils the school is expected to absorb. The mobility allowance is paid for each child above that school's calculated threshold, for primary schools only.

Site - Specific Factors (including pupil-led) (24)

Nursery				
			Nursery:	
Primary				
Rates	assessed			
Pool Maintenance	1	15,180.84		
Caretaker's Houses	19	805.29		
			Primary:	

Secondary			505,270
Rates	assessed		
Pool Maintenance	1	22,145.78	
Caretaker's Houses	7	805.29	
Split Site Lump Sum	1	23,000.00	
			Secondary:
			328,691

Method and worked example(s) where appropriate:

Rates - assessed as an estimate of charges for the year. An adjustment is made when actual costs are known, to ensure this is budget neutral, i.e. no impact on balances. Pool maintenance - lump sum is paid to schools with a swimming pool. Caretaker's houses - lump sum is paid to schools per caretaker's house for repairs. Split Site Lump Sum is paid to schools on more than one site.

School-Specific Factors (including pupil led) (25)

Nursery			
			Nursery:
Primary			
Nursery Protection	Nursery Pupils	assessed	
Insurance	42	assessed	
Scale of Operation	Banded		
Performance Pay UPS1	73	3,136.00	
Performance Pay UPS2	57	4,647.00	
Performance Pay UPS3	201	6,209.00	
Performance Pay Leadership	100	1,236.78	
Personalised learning lump sum	42	5,106.39	
EAL Lump	30	1,021.00	
Individual Pupils lump sums	42	3,335.81	Primary:
VA Admissions	11	300.00	4,376,133
Secondary			
Insurance		assessed	
Scale of Operation	Banded		
Performance Pay UPS1	51	3,136.00	
Performance Pay UPS2	35	4,647.00	
Performance Pay UPS3	116	6,209.00	
Performance Pay Leadership	48	1,347.71	
EAL Lump	5.4	1,531.50	
Individual Pupils lump sums	5.4	4,169.76	
Personalised Learning Lump Sum	5.4	25,579.58	
VA Admissions	1	1,000.00	Secondary:
Transitional Costs	1	41,674.00	1,634,769

Method and worked example(s) where appropriate:

Nursery protection - the most cost effective staffing model is for a 39-place nursery class. Where

schools have fewer places, or places are not full at census day, they are compensated for the higher cost per pupil. Insurance - an assessed amount per school.
 Primary schools with fewer than 120 pupils = Lump Sum £88,573
 Primary schools with more than 120 and fewer than 400 pupils
 =£88,573 - ([NOR-120] x £316.33)
 Secondary schools with fewer than 500 pupils = Lump Sum £87,780
 Secondary schools with more than 500 and fewer than 900 pupils =
 = £87,780 - ([NOR-500] x £219.45)
 Threshold & performance pay - an allowance per member of staff on upper pay spine or leadership scale, based on January payroll.
 Personalised learning lump sum per school
 VA Admissions lump sum is paid to schools governing bodies which are admissions authorities.
 Transitional costs, covering the salary of the Head Teacher Designate, are paid as a Lump sum to the new Secondary school opening in September 2009.

BUDGET ADJUSTMENTS (26)

TRANSITIONAL PROVISION (27)

Nursery:
Primary:
Secondary:

Method and worked example(s) where appropriate:

ABATEMENT OF Secondary (11-16) FUNDING arising from operation of the LA's formula (28)

Secondary:

Method and worked example(s) where appropriate:

MINIMUM FUNDING GUARANTEE (29)

Nursery:
Primary:
150,068
Secondary:
0

Method and worked example(s) where appropriate:

TOTAL FUNDS AVAILABLE TO MAINSTREAM SCHOOLS (30)

Nursery:
Primary:
42,522,522
Secondary:
22,116,380

Method and worked example(s) where appropriate:

* **SPECIAL SCHOOLS (31)**

PLACE-LED FUNDING (32)

Autism pre 14	71	12,186
Autism 14 & over	43	15,842
Profound & Multiple Learning Difficulties pre 14	34	14,603
Profound & Multiple Learning Difficulties 14 & over	22	18,984
Severe Developmental Difficulties pre 14	62	7,583
Severe Developmental Difficulties 14 & over	34	9,858
Emotional, Behavioural & Social Difficulties pre 14	127	8,967
Emotional, Behavioural & Social Difficulties 14 & over	42	11,657

Special
4,901,431

Method and worked example(s) where appropriate:

Planned places are calculated based on pupils at January Census for 5 months and an estimate of September rolls for 7 months. If the total calculated planned places is lower than the number of pupils in the Census, the places are set at Census level.

PUPIL-LED FUNDING (33)

Catering - free school meals	178	436
Personalised learning (Primary) per pupil	171	95
Personalised learning (Secondary) per pupil	256	147
Practical learning options 14-16 per pupil	256	26
Workforce reform per pupil	171	18

Special
141,066

Method and worked example(s) where appropriate:

Catering - an allowance is paid for each pupil taking a free school meal on Census day.
 Personalised learning - elements of the primary and secondary school funding have been allocated to special schools using the number of pupils on roll at Census day in the age range for each sector.
 Practical learning options - an allowance is paid for each child of secondary age on roll at Census day.

Workforce reform - an allowance is paid for each child of primary age on roll at Census.

AEN - LEARNING NEEDS ASSOCIATED WITH EAL (34)

EAL

22	235

Special
5,166

Method and worked example(s) where appropriate:

EAL - Allowance per pupil of Ethnic Minority pupils and not achieving expected attainment level.

AEN - SOCIAL NEED (35)

Special

Method and worked example(s) where appropriate:

SITE - SPECIFIC FACTORS (Including pupil-led) (36)

Pool maintenance

1	20,509.38

Special
20,509

Method and worked example(s) where appropriate:

Pool maintenance - lump sum is paid to schools with a swimming pool.

SCHOOL - SPECIFIC FACTORS (Including pupil-led) (37)

Fixed costs	4	243,934.74
Insurance		assessed
Catering Lump Sum	4	22,611.28
Performance Pay UPS1	5	3,136.00
Performance Pay UPS2	3	4,647.00
Performance Pay UPS3	25	6,209.00
Performance Pay Leadership	11	1,197.91
EAL Lump Sum	4	1,021.00

Special
1,306,175

Method and worked example(s) where appropriate:

Fixed costs - a lump sum paid to each school to cover the costs of senior management

team, administrative staff and other costs not covered by the planned place allowance, plus 5 places for outreach work at the average cost per planned place for all special schools.
Catering - a lump sum paid to each school as a contribution towards fixed costs.
Threshold & performance pay - an allowance per member of staff on upper pay spine or leadership scale, based on January payroll.

BUDGET ADJUSTMENTS e.g transitional provision (38)

Special

Method and worked example(s) where appropriate:

MINIMUM FUNDING GUARANTEE (39)

Special
59,813

Method and worked example(s) where appropriate:

TOTAL FUNDS AVAILABLE TO SPECIAL SCHOOLS (40)

Special
6,434,160

TOTAL FUNDS AVAILABLE TO ALL SCHOOLS (41)

All Schools
71,073,062