

Pothole Fund Application Form



Department
for Transport

Guidance is available at: <https://www.gov.uk/government/publications/pothole-fund-2014-to-2015-application>

Only one application form should be completed per local highway authority.

Applicant Information

Local authority name: Middlesbrough Council

Bid Manager Name and position: Derek Gittins. Highways, Transportation and Waste Management Manager.

Name and position of officer with day to day responsibility for delivering the proposed scheme.

Contact telephone number: 01642728636

Email address: derek_gittins@middlesbrough.gov.uk

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When authorities submit a bid for funding to the Department, as part of the Government's commitment to greater openness in the public sector under the Freedom of Information Act 2000 and the Environmental Information Regulations 2004, they must also publish a version excluding any commercially sensitive information on their own website within two working days of submitting the final bid to the Department. The Department reserves the right to deem the business case as non-compliant if this is not adhered to.

Please specify the weblink where this bid will be published:

<http://www.middlesbrough.gov.uk/index.aspx?articleid=10417>

SECTION A – Your Highway

The Department would like to understand more about the highway assets that fall under your statutory duties.

We already collect data from your authority in regards to road lengths but we would like to understand more about the other assets you are responsible for. Please answer the following in your application:

**A1: What is the number of bridges owned by your authority with span over 1.5 metres?
240**

A2: What is the total number of street lighting columns under your authority's responsibility? 21469

A3: What is the total number of street lighting columns under your authority's responsibility over 40 years old? 900

A4: What is the total length of footways under the responsibility of your authority (in miles)? 615mi

A5: What is the total length of off road cycleways under the responsibility of your authority (in miles)? 46mi

A6: Please provide a web link to your authority's statement of how the flood recovery funding, awarded in March 2014, has/will be spent:

<http://www.middlesbrough.gov.uk/CHttpHandler.ashx?id=10865&p=0>

SECTION B – Potholes

B1: Which of the recommendations arising from the Pothole Review Report has your authority adopted?

The report can be viewed here:

https://www.gov.uk/government/uploads/system/uploads/attachment_data/file/3995/pothole-review.pdf

Please answer the following, including providing supporting information, where applicable:

| Question | Yes/No | All 'yes' answers must be supported evidence. Please append supporting information, clearly marking the question number to which the information refers. |
|---|---|---|
| A. Has your authority aligned its maintenance programme to the Government's highways maintenance funding years (i.e. 2011-2015 and 2015-2021)? | <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No | See '5 year highway maintenance plan' via the following link: http://www.middlesbrough.gov.uk/index.aspx?articleid=10417 |
| B. Has your authority adopted the principle that 'prevention is better than cure' in determining the balance between structural, preventative and reactive maintenance activities in order to improve the resilience of the highway network and to minimise the occurrence of potholes in the future? | <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No | Appended |
| C. Has your authority ensured that appropriate competencies have been made available to make the right choices when designing and specifying techniques and materials for the maintenance and repair of highways? Note - these competencies can be secured through training, collaboration with neighbouring authorities or external advice. | <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No | Evidence in 2011-2013 Tender Doc at page 18, Term Brief and Proposal, items 1.6 & 1.7, and pages 21 to 23 items 12 to 19 inclusive |

| | | |
|--|--|---|
| D. Does your authority co-ordinate with other parties working on the highway short and long term programmes of work activities for up to four years in advance? | <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No | We coordinate all works with other parties working on the highway in accordance with The New Roads And Street Works Act 1991 (Code Of Practice For Coordination), receiving Emergency / Urgent / 3 Day Minor and 3 Month Major works notices. We attend Coordination meetings quarterly to attain as much information as possible for planned works. |
| E. Has your authority considered the guidance provided in the ADEPT report Potholes and Repair Techniques for Local Highways and adopted as appropriate to your local circumstances? | <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No | See '5 year highway maintenance plan' via the following link: http://www.middlesbrough.gov.uk/index.aspx?articleid=10417 |
| F. Has your authority developed a detailed highway inspection manual and have put appropriate training in place for your Highway Inspectors? | <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No | See 'Highway Safety Inspection Manual' via the following link: http://www.middlesbrough.gov.uk/index.aspx?articleid=10417 |
| G. Does your authority use technology and systems for the effective identification and management of potholes? | <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No | Symology Insight is used throughout the whole lifecycle of a pothole. For more details see: - http://www.symology.co.uk/Products |
| H. Does your authority have a public communications process in place that provides clarity and transparency in the policy and approach to repairing potholes? This should include a published policy and details of its implementation, including the prevention, identification, reporting, tracking and repair of potholes. | <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No | Evidence in the Council approved 'Highway Maintenance Plan' which confirms adherence to the recommendations of the Well maintained highways, Code of good practice. Also Section B refers to procedures for inspection and repair prioritisation. In addition the 'Highway Inspection Manual' supports the required evidence. Both of these documents can be found at the following link: http://www.middlesbrough.gov.uk/index.aspx?articleid=10417 |
| I. Does your authority monitor public satisfaction with road, footway and cycleway condition and report annually through the National Highways and Transport Public Satisfaction Survey or their own surveys? | <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No | The authority takes part in the National Highways and Transport Public Satisfaction Survey biennially. We also take part in local 'VoiceOver' surveys. |

| | | |
|---|--|--|
| J. Does your authority adopt permanent repairs as the first choice when repairing potholes? | <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No | See 'Highway Policy' via the following link: http://www.middlesbrough.gov.uk/index.aspx?articleid=10417 |
| K. Has your authority adopted dimensional definitions for potholes based on best practice as part of its maintenance policy? | <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No | See 'Highway Inspection Manual' via the following link: http://www.middlesbrough.gov.uk/index.aspx?articleid=10417 |

B2: Does your authority adopt any innovative methods to help repair potholes? This could include, for example, specialist pothole maintenance crews.

Yes No

If yes, please provide details as an annex as part of your bid.

Pothole Purge

A pothole purge was carried out from 29th April 2013 to 28th June 2013 during which time a total of 4,735 potholes were reinstated using 104 tonnes of tarmac. Links:

<http://www.gazettelive.co.uk/news/local-news/middlesbrough-council-launches-purge-potholes-3664893> or

[http://democracy.middlesbrough.gov.uk/aksmiddlesbrough/images/att1002597.doc&sa=U&ei=0k98U6-](http://democracy.middlesbrough.gov.uk/aksmiddlesbrough/images/att1002597.doc&sa=U&ei=0k98U6-2LonA7AaW6YGYBA&ved=0CEEQFjAF&usq=AFQjCNE50swSHaHmwqVH6KHFjhPJVoiiMQ)

[2LonA7AaW6YGYBA&ved=0CEEQFjAF&usq=AFQjCNE50swSHaHmwqVH6KHFjhPJVoiiMQ](http://democracy.middlesbrough.gov.uk/aksmiddlesbrough/images/att1002597.doc&sa=U&ei=0k98U6-2LonA7AaW6YGYBA&ved=0CEEQFjAF&usq=AFQjCNE50swSHaHmwqVH6KHFjhPJVoiiMQ)

Incident response unit provision

Middlesbrough Council's Streetscene Service provides Incident Response on A172 link road and the 6km section of A66 within the Middlesbrough boundary. Staff from within the Council's existing Streetscene Service have completed training in NVQ Level 2 Highways Maintenance Incident Support Unit Operations and are undergoing assessment for a CSkills Award. The service will replace the previous agreement we had with Redcar and Cleveland Council which saw Redcar and Cleveland's highway service Contractor Carillion provide the incident response capability and will enable a contribution to the Councils budget reduction targets.

The Traffic Management Act 2004 applied a new Network Management Duty on local traffic authorities and provision of this service helps enable Middlesbrough Council to comply with its statutory duties under Sections 16 and 17 of the Act in establishing a service to deal with incidents that could cause congestion and disruption on our high speed roads.

The service will include a 24 hour incident response facility providing emergency traffic management for all highway incidents outside of the operational remit of Cleveland Police and will incorporate a daily inspection of A66/A172 to identify emergency defects and carry out debris removal.

In addition the service can be utilised to provide emergency traffic management across the whole of the Councils highway network which will facilitate reactive maintenance repairs at traffic sensitive locations which would ordinarily require sub-contracted traffic management.

B3: Does your authority use reporting tools to identify potholes in your local area including:

CTC Fill that Hole

Yes No

Council's Own Web Reporting

Yes No

Other

Yes No

Please specify: A pothole purge was carried out from 29th April 2013 to 28th June 2013 during which time a total of 4,735 potholes were reinstated using 104 tonnes of tarmac.

Links: <http://www.gazettelive.co.uk/news/local-news/middlesbrough-council-launches-purge-potholes-3664893> or

<http://democracy.middlesbrough.gov.uk/aksmiddlesbrough/images/att1002597.doc&sa=U&ei=0k98U6->

[2LonA7AaW6YGYBA&ved=0CEEQFjAF&usq=AFQjCNE50swSHaHmwqVH6KHFjhPJVoiiMQ](http://democracy.middlesbrough.gov.uk/aksmiddlesbrough/images/att1002597.doc&sa=U&ei=0k98U6-2LonA7AaW6YGYBA&ved=0CEEQFjAF&usq=AFQjCNE50swSHaHmwqVH6KHFjhPJVoiiMQ)

B4: Does your authority regularly consult and seek feedback on its highways maintenance regime, including potholes, with key stakeholders?

Local Member(s) of Parliament

Yes No

District, Borough and Parish Councils

Yes No

Local Residents

Yes No

(Including neighbourhood Forums)

Yes No

Business Community

Yes No

Emergency Services

Yes No

If yes, please provide details as an annex as part of your bid.

B5: Does your authority have an up-to-date vision and action plan to improve the walking environment and encourage walking?

Yes No

If yes, please provide a weblink:

<http://www.middlesbrough.gov.uk/CHttpHandler.ashx?id=4405&p=0>

B6: Please explain how you deliver your duty under NRSWA to 'co-ordinate the execution of works of all kinds', including for example permit schemes, noticing, co-ordination meetings?

We coordinate all works with other parties working on the highway in accordance with The New Roads And Street Works Act 1991 (Code Of Practice For Coordination), receiving Emergency / Urgent / 3 Day Minor and 3 Month Major works notices. We attend Coordination meetings quarterly to attain as much information as possible for planned works.

We inspect 30% Sample inspections @ Cat A,B,C, Random Routine Inspections and Third Party Inspections and defect any failings with in the Two Year guarantee period which all reinstatements have. Any Utility Company / Contractors who are underperforming a performance meeting is conducted and we monitor any works they carry out in the Highway.

B7: What actions does your authority take to ensure road repairs undertaken by other parties (such as utilities companies) meet the standards in the specification?

- Inspections regime
- Scoring programme
- Performance bench-marking
- Meetings
- Other (please specify) A coring programme is in place, conducted by our laboratory services.

SECTION C – Asset Management

C1: Has your authority adopted the recommendations arising from the Asset Management Strategy Guidance published in May 2013 –

<http://www.ukroadsliasongroup.org/en/utilities/document-summary.cfm?docid=5C49F48E-1CE0-477F-933ACBFA169AF8CB> ?

Yes No

Please answer the following, including providing supporting information, where applicable.

| Question | Yes/No | All 'yes' answers must be supported evidence. Please append supporting information, clearly marking the question number to which the information refers. |
|---|---|---|
| Has your authority got an up to date asset management policy and strategy? | <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No | http://www.middlesbrough.gov.uk/index.aspx?articleid=10417 |
| Does your authority communicate relevant information associated with asset management through engagement with your relevant stakeholders when you set requirements, make decisions and report performance? | <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No | The attached 'Business Case' shows how factual information is communicated to support Elected Members communication of relevant information in their decision making processes. |
| Does your authority have an asset management register? | <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No | Symology Insight is used to hold our asset register. For more details see: - http://www.symology.co.uk/Products |
| Does your authority follow lifecycle planning principles which are used to review the level of funding and which will help support investment decisions including long term investment in your assets?. | <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No | See documents: - C1 - 201213_GRC_cway_fway_V9 BLANK.xls C1 - All Classified funding needed for improvement.xlsm |

C2: As part of your last L-Pack return for Whole Government Accounting requirements for the accounting period 2012/13, can you confirm you submitted the following return:

| | | |
|---------------------------------|---|-----------------------------|
| Carriageway and Footways | <input checked="" type="checkbox"/> Yes | <input type="checkbox"/> No |
| Lighting | <input checked="" type="checkbox"/> Yes | <input type="checkbox"/> No |
| Structures | <input checked="" type="checkbox"/> Yes | <input type="checkbox"/> No |
| Street Furniture | <input checked="" type="checkbox"/> Yes | <input type="checkbox"/> No |

SECTION D – Efficiencies

D1: Is your authority actively engaged with securing efficiencies for highways maintenance?

Yes No

If yes, please provide additional information on what your authority has done since 2011 including what % efficiency savings (where efficiency savings are defined as delivering a similar or a better outcome at a lower cost) your authority has achieved year on year and what savings you hope to achieve by end of 2014/15.

We have procured a Specialist Services Contract to deliver our capital and revenue service requirements, and the additional £6m investment programme. Based upon partnering and early contractor involvement to maximise efficiencies and 'gain share' reinvestment through reduced costs for volume. This has further efficiency benefits by providing a mechanism for delivery of other regeneration projects avoiding costly repetitive tendering procedures. Efficiency savings from this is approximately 10%.

D2: Is your authority exploring or has it already joined with neighbouring local highway authorities or a Highways Maintenance Alliance to achieve economies of scale?

Yes No

If yes, please provide additional information. For example the names of other authorities or the Alliance.

Tees Valley Framework

Members: Middlesbrough Council, Darlington Borough Council, Hartlepool Borough Council, Redcar & Cleveland Borough Council, Stockton Borough Council.

North East Performance Improvement Network (NEPIN)

Members: Durham, Gateshead, Hartlepool, Newcastle, North Tyneside, South Tyneside, Sunderland, Middlesbrough, Stockton-on-Tees, Darlington, Northumberland, Redcar and Cleveland.

North East Alliance

Members: Middlesbrough Council, Darlington Borough Council, Hartlepool Borough Council, Redcar & Cleveland Borough Council, Stockton Borough Council, Gateshead. Newcastle, North Tyneside, South Tyneside, Sunderland, Northumberland, Durham.

Traffic Signals

Members: Middlesbrough Council, Darlington Borough Council, Hartlepool Borough Council, Redcar & Cleveland Borough Council, Stockton Borough Council.

Darlington Framework

Members: Middlesbrough Council, Darlington Borough Council, Hartlepool Borough Council, Redcar & Cleveland Borough Council, Stockton Borough Council, York City.

D3: Is your authority sharing its efficiency experience and/or case studies with other local highway authorities via the Highways Maintenance Efficiency Programme or other good practice networks?

Yes No

If yes, please provide state where.

- North East Performance Improvement Network (NEPIN)
- Tees Valley Highway Maintenance Engineers Meetings
- North East Alliance

SECTION E – Other

E1: Please provide details on which of the following good practice activities your authority is undertaking for its highways management activities.

Invest to save Yes No
Cross boundary collaboration Yes No

Other (please specify):

- The attached 'Business Case' refers to previous 'Invest to Save' arrangements recently delivered. We are now awaiting the outcome of the Capital Programme review covering the further future investment in maintenance of the highway infrastructure.
- Street lighting maintenance and renewal partnering contract
- Cross boundary agreements with neighbouring local authorities.

E2: Do you consider your authority to be an exemplar authority in tackling potholes and undertaking highway maintenance?

Yes No

If yes, please explain why.

If yes, would your authority be willing to share its experiences more widely with other authorities / organisations?

Yes No

SECTION F: Declarations

F1. Senior Responsible Owner Declaration

As Senior Responsible Owner for Pothole Fund Application I hereby submit this request for approval to DfT on behalf of Middlesbrough Council and confirm that I have the necessary authority to do so.

I confirm that Middlesbrough Council will have all the necessary statutory powers in place to ensure the planned timescales in the application can be realised.

Name: Derek Gittins

Signed:

Position:
Highways, Transportation and Waste
Management Manager



Supporting Evidence

B1: Question B

Please see attached PowerPoint presentation, B1QB - Priority Programme Maintenance.ppt.

B1: Question C

Please see attached Document, B1QC – 2011-2013.doc.

B1: Question I

Please see attached Document, B1QI - NHT Survey 16Nov2012 PB3.ppt.

B4

Please see attached Documents: -

B4 - Cllr Consult Letter 12Jan2012.doc

B4 - OTG 19-03-14 minutes.doc

B4 - Transport Consultative Group.doc

C1

Please see attached Documents: -

C1 - Business Case.doc.

C1 - 201213_GRC_cway_fway_V9 BLANK.xls

C1 - All Classified funding needed for improvement.xlsm

E1

Please see attached documents: -

E1 – Business Case.doc

E1 - CBA MbroRedcar review 2012.pdf